



ICON Capital Corp & ICON Securities Corp. Privacy Policy

IMPORTANT PRIVACY INFORMATION

ICON Capital Corp. and ICON Securities Corp. (“we”, “our” or “us”) are committed to respecting your privacy. We value your trust. We have not and *will not* sell information about you nor share your information for marketing purposes with anyone other than our employees, representatives and affiliates. This Privacy Notice details the types of information we collect, how we use it and how we protect it.

Information We Collect

During the course of our relationship with you we may obtain nonpublic information about you from third-parties including consumer reporting agencies in order to provide you with the products and services you have requested. Nonpublic information includes your name, addresses, phone number, social security number, e-mail address, income assets, policies, third-party accounts, family data, current and past medical history and information with respect to business transactions or experiences with us or other financial institutions (collectively, NPI”).

Information We Disclose

Disclosures to Unaffiliated Third Parties. We have not and will not disclose your NPI to outside parties for marketing purposes. We will share NPI with outside parties only as permitted by law. If such disclosure is made, it will be made only to provide you with the products and services that you have requested from us or to administer such products and services. Examples of third-parties to whom we may disclose NPI include registered representatives of our selling dealers and service providers for our businesses.

Disclosures to Affiliates. We may disclose NPI to our affiliates in order to process your requests, service your products and/or offer you additional products or services that you may be interested in purchasing.

Other Disclosures Permitted by Law. We may disclose NPI as permitted or required by law which includes disclosure to law enforcement, state insurance agencies or health care authorities.

Compliance with Law

We will comply with applicable federal and state laws with respect to the use and protection of your NPI.

Our Security Procedures

We maintain security procedures designed to prevent unauthorized access to NPI. We restrict access to your NPI to our employees and others for lawful business purposes.

When We Will Notify You

If you are a current customer, we will provide you with a Privacy Notice each year. If you are not a current customer, we will continue to follow our privacy practices and procedures as set forth in our then current Privacy Notice; however, you will not receive an annual Privacy Notice from us.

Change In Privacy Notice

We reserve the right to revise our Privacy Notice at any time. If we make material changes to our Privacy Notice we will provide current customers with a revised notice describing our new practices and procedures.

This Privacy Notice replaces any prior privacy notices you received from us.

ICON Funds are sponsored by ICON Capital Corp., which is affiliated with ICON Securities Corp., the dealer-manager for ICON Funds and member FINRA/SIPC.

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